

QUASI-UNIFORM STRUCTURES

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## CHAPTER I

### QUASI-UNIFORM STRUCTURES

#### INTRODUCTION

A quasi-uniform structure is a natural generalization of a uniform structure . In this chapter it is shown that every topological space admits a quasi-uniform structure . In general , a topological space will admit more than one compatible quasi-uniform structure . As with uniform structure , it is possible to study the concepts of completeness and totally boundedness and a notion of uniform or quasi-uniform continuity and other related concepts which can not be studied in a topological space .

**DEFINITION 1.1** Let  $X$  be a non-empty set . A quasi-uniform structure  $\mathcal{U}$  for the set  $X$  is a non-empty collection ,  $\mathcal{U}$  , of subsets of  $X \times X$  satisfying :

- (1).  $\Delta = \{ (x,x) : x \in X \} \subset U$  for each  $U \in \mathcal{U}$  ,
- (2).  $U_1$  and  $U_2 \in \mathcal{U}$  implies that  $U_1 \cap U_2 \in \mathcal{U}$  ,
- (3).  $U_1 \in \mathcal{U}$  and  $U_2 \supset U_1$  implies that  $U_2 \in \mathcal{U}$  ,
- (4). For each  $U \in \mathcal{U}$  there exists  $V \in \mathcal{U}$  with  $V \circ V \subset U$  .

$(U \circ V = \{ (x,y) : \text{there exists } z \in X \text{ with } (x,z) \in U \text{ and } (z,y) \in V \})$

Then the pair  $(X, \mathcal{U})$  is called a quasi-uniform space .

DEFINITION 1.2 Let  $\mathcal{U}$  be a quasi-uniform structure for a set  $X$  satisfying :

(5). For each  $U$  in  $\mathcal{U}$  , then  $U^{-1} = \{ (x,y) : (y,x) \in U \} \in \mathcal{U}$  , and  $\mathcal{U}$  is called a uniform structure for  $X$  . The pair  $(X, \mathcal{U})$  is called a uniform space .

DEFINITION 1.3 If  $A \subset X \times X$  , then  $A$  is symmetric if and only if  $A^{-1} = A$  ,  $A$  is anti-symmetric if and only if  $A \cap A^{-1} \subset \Delta$  .

THEOREM 1.1 Let  $(X, \mathcal{U})$  be a uniform space , then for each  $U$  in  $\mathcal{U}$  there exists a symmetric  $V$  in  $\mathcal{U}$  such that  $V \circ V \subset U$  .

PROOF. For each  $U$  in  $\mathcal{U}$  there exists a  $W$  in  $\mathcal{U}$  such that  $W \circ W \subset U$  . Since  $W^{-1} \in \mathcal{U}$  , it follows that  $V = W \cap W^{-1} \in \mathcal{U}$  . Now  $V$  is symmetric and  $V \circ V \subset U$  .

DEFINITION 1.4 Let  $\mathcal{U}$  be a quasi-uniform structure for  $X$  , and let  $x$  be a point in  $X$  . Then  $U\{x\} = \{ y : (x,y) \in U \}$  for  $U \in \mathcal{U}$  , and  $\mathcal{U}\{x\} = \{ U\{x\} : U \in \mathcal{U} \}$  .

THEOREM 1.2 Let  $\mathcal{U}$  be a quasi-uniform structure for the set  $X$  . Set

$\tau_{\mathcal{U}} = \{ O \subset X : \text{if } x \in O \text{ then there exists } U \in \mathcal{U} \text{ such that } x \in U \{x\} \subset O \}$  .

Then  $\tau_{\mathcal{U}}$  is a topology for  $X$  .

PROOF. Suppose for each  $\alpha \in \mathcal{A}$  ,  $O_{\alpha} \in \tau_{\mathcal{U}}$  . If  $x \in \bigcup \{ O_{\alpha} : \alpha \in \mathcal{A} \}$  , then  $x \in O_{\alpha}$  for some  $\alpha$  in  $\mathcal{A}$  . There exists a  $U_{\alpha} \in \mathcal{U}$  such that  $x \in U_{\alpha} \{x\} \subset O_{\alpha} \subset \bigcup \{ O_{\alpha} : \alpha \in \mathcal{A} \}$  . Therefore  $\bigcup \{ O_{\alpha} : \alpha \in \mathcal{A} \} \in \tau_{\mathcal{U}}$  .

Clearly  $\phi \in \tau_{\mathcal{U}}$  .

Suppose  $O_1, O_2 \in \tau_{\mathcal{U}}$  . If  $x \in O_1 \cap O_2$  , then  $x \in O_1$  and  $x \in O_2$  .

There exist  $U_1, U_2 \in \mathcal{U}$  such that  $x \in U_1 \{x\} \subset O_1$  ,  $x \in U_2 \{x\} \subset O_2$  . However ,  $x \in (U_1 \cap U_2) \{x\} = U_1 \{x\} \cap U_2 \{x\} \subset O_1 \cap O_2$  . Hence  $O_1 \cap O_2 \in \tau_{\mathcal{U}}$  . Clearly  $X$  belongs to  $\tau_{\mathcal{U}}$  . Therefore  $\tau_{\mathcal{U}}$  is a topology for the set  $X$  .

THEOREM 1.3 Let  $\mathcal{U}$  be a quasi-uniform structure for the set  $X$  , then the collection  $\mathcal{N} = \{ U \{x\} : U \in \mathcal{U} , x \in X \}$  is a neighborhood system for the topology  $\tau_{\mathcal{U}}$  .

PROOF. For each  $x \in X$  ,  $\mathcal{U} \{x\}$  forms a neighborhood system of  $x$  which satisfy four axioms as follows :

(N-1). For each  $x$  in  $X$  and for each  $U$  in  $\mathcal{U}$  ,  $x \in U \{x\}$  , since  $\Delta \subset U$  , for each  $U$  in  $\mathcal{U}$  .

(N-2).  $U \{x\} , V \{x\} \in \mathcal{N}$  implies that  $U \{x\} \cap V \{x\} = (U \cap V) \{x\} \in \mathcal{N}$  , since  $U \cap V$  is in  $\mathcal{U}$  .

(N-3). Suppose that  $U \{x\} \in \mathcal{N}$  and  $U \{x\} \subset A$  . Set  $V = U \cup A \times A$  , then  $U \subset V \in \mathcal{U}$  , and  $V \{x\} = A$  . Hence  $A \in \mathcal{N}$  .

(N-4). Let  $U(x) \in \mathcal{N}$ , then there exists a  $V$  in  $\mathcal{U}$  such that  $V \circ V \subset U$ . Thus  $V \subset V \circ V \subset U$ . Let  $t \in V(x)$  and  $p$  be an arbitrary point of  $V(t)$ . Then  $(x, t) \in V$  and  $(x, p) \in V \circ V \subset U$ . This implies that  $V(t) \subset U(x) \in \mathcal{N}$  by (N-3). Hence for every  $U(x) \in \mathcal{N}$ , there exists  $V(x)$  such that  $U(x)$  is a neighborhood of each point of  $V(x)$ . Therefore  $\mathcal{N}$  is a neighborhood system. Clearly, the topology generated by the neighborhood system  $\mathcal{N}$  is  $\tau_{\mathcal{U}}$ . Hence  $\mathcal{N}$  is a neighborhood system for the topology  $\tau_{\mathcal{U}}$ .

DEFINITION 1.5 Let  $(X, \tau)$  be a topological space and  $\mathcal{U}$  be a quasi-uniform structure on  $X$ . Then  $\mathcal{U}$  is said to be compatible with the topology  $\tau$  if  $\tau = \tau_{\mathcal{U}}$ .

The following are some examples of quasi-uniform spaces.

EXAMPLE 1.1 Let  $X$  be a non-empty set and  $\mathcal{U} = \{U: \emptyset \subset U \subset X \times X\}$ . Then  $\mathcal{U}$  is a quasi-uniform structure and  $\tau_{\mathcal{U}}$  is the discrete topology for  $X$ .

EXAMPLE 1.2 Let  $X$  be a non-empty set, and let  $\mathcal{U} = \{X \times X\}$  then  $(X, \mathcal{U})$  is a quasi-uniform space.  $\tau_{\mathcal{U}}$  is the trivial topology for  $X$ .

EXAMPLE 1.3 Let  $\mathbb{R}$  denote the set of real numbers and let  $r > 0$ . Set  $D_r = \{(x, y) : |x - y| < r\}$ . Then  $\mathcal{U} = \{U: D_r \subset U \subset \mathbb{R} \times \mathbb{R}, r > 0\}$  is an



uniform structure for  $\mathbb{R}$ , and  $\tau_{\mathcal{U}}$  is the usual topology for  $\mathbb{R}$ . This follows since  $D_r[x] = (x - r, x + r)$ .

EXAMPLE 1.4 Let  $(X, d)$  be a metric space, and let  $S_\epsilon = \{(x, y) \in X \times X : d(x, y) < \epsilon\}$ . Then the collection  $\mathcal{U} = \{U : S_\epsilon \subset U \subset X \times X, \epsilon > 0\}$  is an uniform structure.  $\tau_{\mathcal{U}}$  is the original topology for  $X$ .

EXAMPLE 1.5 Let  $X$  be a non-empty set linearly ordered by  $<$  and let  $W = \{(x, y) : x \leq y\}$ .  $\mathcal{U} = \{U : W \subset U \subset X \times X\}$  is a quasi-uniform structure for the set  $X$ , and  $\tau_{\mathcal{U}}$  is generated by the family of all intervals of the form  $[a, \infty) = \{x : x \geq a\}$  for any  $a \in X$ .

EXAMPLE 1.6 Let  $X$  be a non-empty set linearly ordered by  $<$ . Let  $W_a = \{(x, y) : x = y \text{ or } a < x < y\}$  for  $a \in X$ . Then  $\mathcal{U} = \{U : W_a \subset U \subset X \times X, a \in X\}$  is a quasi-uniform structure for the set  $X$ .  $\tau_{\mathcal{U}}$  is the discrete topology for the set  $X$ .

EXAMPLE 1.7 Let  $X$  be a non-empty set linearly ordered by  $<$ . For some fixed points  $a, b$  of  $X$ , define  $V_{a,b} = \{(x, y) : x = y \text{ or } a \leq x \leq b\}$  then  $\mathcal{U} = \{U : V_{a,b} \subset U \subset X \times X, a \leq b, a, b \in X\}$  is a quasi-uniform structure for the set  $X$ , and  $\tau_{\mathcal{U}}$  is a discrete topology for the set  $X$ . In fact, for each  $x \in X$  there exists  $a, b \in X$  such that  $x < a < b$  and  $x = V_{a,b}[x]$ .

EXAMPLE 1.8 Let  $X$  be a non-empty set linearly ordered by  $<$ , and let  $a$  and  $b$  be fixed points of  $X$ . Define  $T_{a,b} = \{ (x,y): a \leq x \leq y \leq b \} \cup \Delta$ . Then  $\mathcal{U} = \{ U: T_{a,b} \subset U \subset X \times X, a \leq b, a, b \in X \}$  is a quasi-uniform structure for the set  $X$ , and  $\tau_{\mathcal{U}}$  is a discrete topology for the set  $X$ . This follows by the fact that for each  $x \in X$  there exists  $a, b \in X$  such that  $x < a < b$  and  $x = T_{a,b}[x]$ .

EXAMPLE 1.9 Let  $X$  be a non-empty set linearly ordered by  $<$ , and let  $a \in X$  be given. If  $H_a = \{ (x,y): x = y \text{ or } a \leq x \}$ , then  $\mathcal{U} = \{ U: H_a \subset U \subset X \times X, a \in X \}$  is a quasi-uniform structure for the set  $X$  and  $\tau_{\mathcal{U}}$  is a discrete topology for the set  $X$ . In fact for each  $x \in X$ , there exists a point  $a$  in  $X$  such that  $x < a$  and  $x = H_a[x]$ .

EXAMPLE 1.10 Let  $X$  be a non-empty set linearly ordered by  $<$ , and let  $a \in X$  be given. If  $L_a = \{ (x,y): x \leq a \leq y \} \cup \Delta$ , then  $\mathcal{U} = \{ U: L_a \subset U \subset X \times X, a \in X \}$  is a quasi-uniform structure for the set  $X$  and  $\tau_{\mathcal{U}}$  is a discrete topology for  $X$  since for each  $x \in X$ ,  $x = L_a[x]$  by choosing  $a \in X$  such that  $x < a$ .

EXAMPLE 1.11 Let  $\mathbb{R}$  denote the set of real numbers with the usual order  $<$  and let  $\epsilon > 0$  be given. Set  $W_\epsilon = \{ (x,y): y < x + \epsilon \}$ . Then  $\mathcal{U} = \{ U: W_\epsilon \subset U \subset \mathbb{R} \times \mathbb{R}, \epsilon > 0 \}$  is a quasi-uniform structure for  $\mathbb{R}$ , and  $\tau_{\mathcal{U}}$  is the left-hand topology for  $\mathbb{R}$  generated by the base consisting of all intervals of the form  $(-\infty, a) = \{ x: x < a \}$  for any real number  $a$ .

EXAMPLE 1.12 Let  $N$  denote the set of natural numbers and  $U_n = \{ (x,y) \in N \times N : x = y \text{ or } x \geq n \}$ , then  $\mathcal{U} = \{ U : U_n \subset U \subset X \times X, n \in N \}$  is a quasi-uniform structure for the set  $N$ , and  $\tau_{\mathcal{U}}$  is the discrete topology since  $U_{n+1} \setminus \{n\} = \{n\}$  for each  $n \in N$ .

DEFINITION 1.6 Let  $\mathcal{U}$  be a quasi-uniform structure on  $X$ . The conjugate  $\mathcal{U}^{-1}$  of  $\mathcal{U}$  is the collection of subsets of  $X \times X$  defined by  $\mathcal{U}^{-1} = \{ U^{-1} : U \in \mathcal{U} \}$ .

THEOREM 1.4 The conjugate of a quasi-uniform structure is a quasi-uniform structure.

PROOF. Let  $(X, \mathcal{U})$  be a quasi-uniform space, and let  $\mathcal{U}^{-1}$  be the conjugate of  $\mathcal{U}$ .  $\Delta \subset U^{-1}$  for every  $U^{-1} \in \mathcal{U}^{-1}$ , since  $\Delta = \Delta^{-1} \subset U^{-1}$ . Let  $U^{-1}, V^{-1} \in \mathcal{U}^{-1}$  then  $U^{-1} \cap V^{-1} = (U \cap V)^{-1}$ . Hence  $U^{-1} \cap V^{-1} \in \mathcal{U}^{-1}$ . Let  $U^{-1} \in \mathcal{U}^{-1}$  and  $U^{-1} \subset A \subset X \times X$ , then  $U \subset A^{-1}$  and  $A^{-1} \in \mathcal{U}$ . Hence  $A \in \mathcal{U}^{-1}$ . Let  $U^{-1} \in \mathcal{U}^{-1}$ , then  $U \in \mathcal{U}$ . There exists a  $V$  in  $\mathcal{U}$  such that  $V \circ V \subset U$ . This implies that  $V^{-1} \circ V^{-1} = (V \circ V)^{-1} \subset U^{-1}$ . Thus  $\mathcal{U}^{-1}$  is a quasi-uniform structure for the set  $X$ .

DEFINITION 1.7 Let  $f : X \rightarrow Y$ , and  $g : S \rightarrow T$ , then the function  $f \times g : X \times S \rightarrow Y \times T$  is defined by  $(f \times g)(x,y) = (f(x), g(y))$  for every  $(x,y) \in X \times S$ . In particular, if  $f = g$  and  $Y = T$ , and then denoted  $f \times f$  by  $f_2$ .

DEFINITION 1.8 Let  $\mathcal{B}$  be a non-empty collection of subsets of  $X \times X$ .  $\mathcal{B}$  is a base for a quasi-uniform structure on  $X$  if and only if

- (1).  $\Delta \subset B$  for every  $B \in \mathcal{B}$ ,
- (2). If  $B_1, B_2 \in \mathcal{B}$ , then there exists a  $B \in \mathcal{B}$  such that  $B \subset B_1 \cap B_2$ ,
- (3). For each  $B \in \mathcal{B}$  there exists a  $B' \in \mathcal{B}$  such that  $B' \circ B' \subset B$ .

THEOREM 1.5 Let  $f : X \rightarrow Y$ , and let  $\mathcal{U}$  be a quasi-uniform structure on  $Y$ , then the collection  $f_2^{-1}(\mathcal{U}) = \{ f_2^{-1}(U) : U \in \mathcal{U} \}$  is a quasi-uniform base on  $X$ .

PROOF. Since  $\Delta_Y \subset U$  for each  $U \in \mathcal{U}$ , it follows that  $\Delta_X \subset f_2^{-1}(U)$ . Let  $f_2^{-1}(U), f_2^{-1}(V) \in f_2^{-1}(\mathcal{U})$ . Then  $f_2^{-1}(U) \cap f_2^{-1}(V) = f_2^{-1}(U \cap V) \in f_2^{-1}(\mathcal{U})$ . Let  $f_2^{-1}(U) \in f_2^{-1}(\mathcal{U})$ , then there exists a  $V$  in  $\mathcal{U}$  such that  $V \circ V \subset U$ . Hence  $f_2^{-1}(V) \circ f_2^{-1}(V) \subset f_2^{-1}(U)$ . Thus  $f_2^{-1}(\mathcal{U})$  is a quasi-uniform base on  $X$ .

DEFINITION 1.9 Let  $(X, \mathcal{U})$  and  $(Y, \mathcal{V})$  be quasi-uniform spaces. A function  $f : X \rightarrow Y$  is said to be quasi-uniformly continuous if and only if for every  $V$  in  $\mathcal{V}$ ,  $f_2^{-1}(V)$  in  $\mathcal{U}$ .

THEOREM 1.6 Let  $(X, \mathcal{U})$  and  $(Y, \mathcal{V})$  be quasi-uniform spaces, and let  $f : X \rightarrow Y$ . Then the following statements are equivalent.

- (1).  $f$  is quasi-uniformly continuous.
- (2). For each  $V \in \mathcal{V}$  there exists a  $U \in \mathcal{U}$  such that  $(x, y) \in U$

implies that  $( f(x) , f(y) ) \in V$  .

(3).  $\mathcal{U}$  is finer than  $f_2^{-1}(\mathcal{V})$  .

PROOF. (1)  $\Rightarrow$  (2). For each  $V \in \mathcal{V}$  ,  $f_2^{-1}(V) \in \mathcal{U}$  . Let  $U = f_2^{-1}(V)$  , then  $f_2(U) = f_2(f_2^{-1}(V)) \subset V$  .

(2)  $\Rightarrow$  (3). For each  $f_2^{-1}(V)$  in  $f_2^{-1}(\mathcal{V})$  there exists a  $U \in \mathcal{U}$  such that  $U \subset f_2^{-1}(V)$  . Hence  $f_2^{-1}(V) \in \mathcal{U}$  .

(3)  $\Rightarrow$  (1). Since  $\mathcal{U}$  is finer than  $f_2^{-1}(\mathcal{V})$  , then for every  $V \in \mathcal{V}$  ,  $f_2^{-1}(V) \in f_2^{-1}(\mathcal{V})$  and therefore  $f_2^{-1}(V) \in \mathcal{U}$  .

THEOREM 1.7 Every quasi-uniformly continuous function is continuous .

PROOF. Let  $f : ( X , \mathcal{U} ) \rightarrow ( Y , \mathcal{V} )$  be a quasi-uniformly continuous function . For each  $V \in \mathcal{V}$  ,  $V\{f(x)\}$  is a neighborhood of  $f(x)$  . Then there exists a  $U \in \mathcal{U}$  such that  $f_2(U) \subset V$  . Hence  $f(U\{x\}) = ( f_2(U) )\{f(x)\} \subset V\{f(x)\}$  . Therefore  $f$  is a continuous function .

Let  $R$  denote the set of real numbers . For each  $r > 0$  , set  $D_r = \{ (x,y) \in R \times R : |x - y| < r \}$  . Then  $\mathfrak{D} = \{ D_r : r > 0 \}$  forms a quasi-uniform base for  $R$  . Let  $\mathcal{U}$  be the quasi-uniform structure on  $R$  which is generated by  $\mathfrak{D}$  . If  $f : ( R , \mathcal{U} ) \rightarrow ( R , \mathcal{U} )$  is a quasi-uniformly continuous function , then , equivalently , for each  $U$  in  $\mathcal{U}$  there exists  $U' \in \mathcal{U}$  such that  $f_2(U') \subset U$  . In other words , for each  $U \in \mathcal{U}$  there exists a  $D_\delta$  with  $\delta > 0$  such that  $f_2(D_\delta) \subset U$  . That is , for

each base element  $D_\epsilon$  of  $\mathcal{D}$  there exists another base element  $D_\delta$  such that  $f_2(D_\delta) \subset D_\epsilon$ . Or equivalently, for each  $\epsilon > 0$  there exists a  $\delta = \delta(\epsilon) > 0$  such that  $(x, y) \in D_\delta$  implies that  $(f(x), f(y)) \in D_\epsilon$ . Hence, for every  $\epsilon > 0$ , there exists a  $\delta = \delta(\epsilon) > 0$  such that  $|x - y| < \delta$  implies that  $|f(x) - f(y)| < \epsilon$ . Thus  $f$  is a uniformly continuous function on the reals  $\mathbb{R}$ .

## CHAPTER II

### PERVIN QUASI-UNIFORM STRUCTURE

DEFINITION 2.1 Let  $\mathcal{A}$  be a non-empty collection of subsets of  $X \times X$ .  $\mathcal{A}$  is called a subbase for a quasi-uniform structure on  $X$  if and only if

- (1).  $\Delta \subset S$  for each  $S$  in  $\mathcal{A}$ ,
- (2). For each  $S$  in  $\mathcal{A}$ , there exists a  $T$  in  $\mathcal{A}$  such that  $T \circ T \subset S$ .

DEFINITION 2.2 Let  $(X, \tau)$  be a topological space. Set  $\mathcal{A} = \{ 0 \times 0 \cup (X - 0) \times X : 0 \in \tau \}$ . Let  $\mathcal{P}$  denote the family of subsets of  $X \times X$  which are supersets of finite intersections of members of  $\mathcal{A}$ .  $\mathcal{P}$  is called the Pervin quasi-uniform structure for the topological space  $(X, \tau)$ . The following theorem justifies the above terminology.

THEOREM 2.1 Let  $(X, \tau)$  be a topological space,  $\mathcal{P}$  as defined in definition 2.2, then

- (a).  $\mathcal{P}$  is a quasi-uniform structure,
- (b).  $\tau_{\mathcal{P}} = \tau$ .

PROOF. (a). Let  $S = 0 \times 0 \cup (X - 0) \times X \in \mathcal{A}$ . Clearly  $\Delta \subset S$ . Suppose  $(x, y) \in S$  and  $(y, z) \in S$ . If  $x \in 0$  then  $y \in 0$  and  $z \in 0$ . This

implies that  $(x,z) \in 0 \times 0 \subset S$ . If  $x \in X - 0$  then  $(x,z) \in (X - 0) \times X \subset S$ . Hence  $S \circ S \subset S$ . Therefore the collection  $\mathcal{S}$  forms a quasi-uniform subbase which generates the quasi-uniform structure  $\mathcal{P}$ .

(b). Let  $x \in 0 \in t$ . Then  $S = 0 \times 0 \cup (X - 0) \times X$  belongs to  $\mathcal{P}$  and  $x \in S[x] \subset 0$ . Therefore  $t \leq \tau_{\mathcal{P}}$ . Clearly,  $\tau_{\mathcal{P}} \leq t$  and hence  $t = \tau_{\mathcal{P}}$ .

EXAMPLE 2.1 Let  $t$  be the usual topology for the set of real numbers  $\mathbb{R}$ . Then the Pervin quasi-uniform structure  $\mathcal{P}$  for  $\mathbb{R}$  is generated by the subbase  $\mathcal{S} = \{ (a,b) \times (a,b) \cup ((-\infty, a] \cup [b, \infty)) \times X : (a,b) \in t \}$ .

Let  $0 = (a,b)$ , then figure 2.1 illustrates the subbasic element  $S = 0 \times 0 \cup (X - 0) \times X$ .

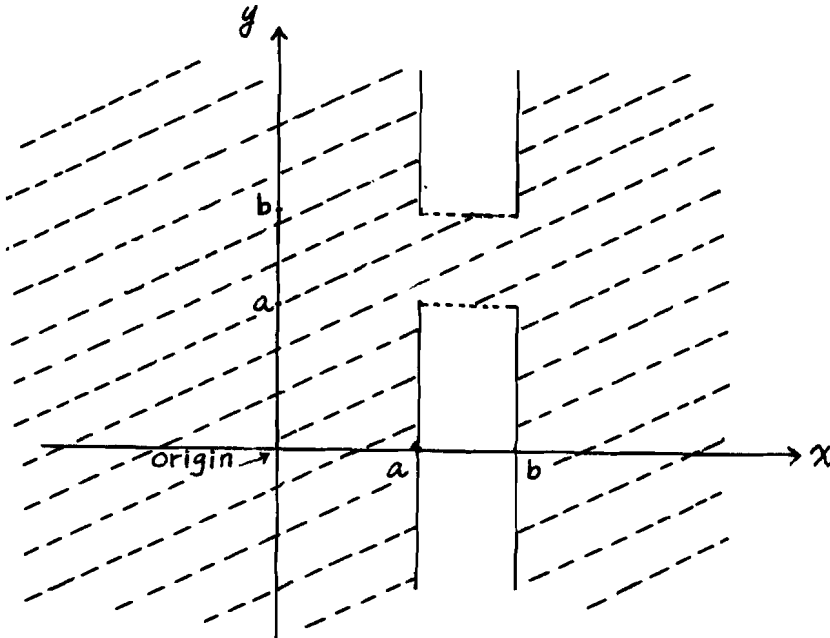
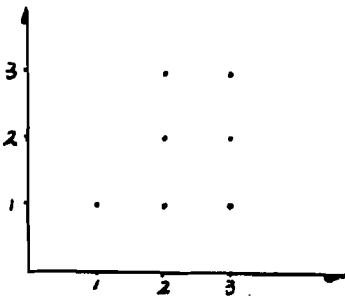


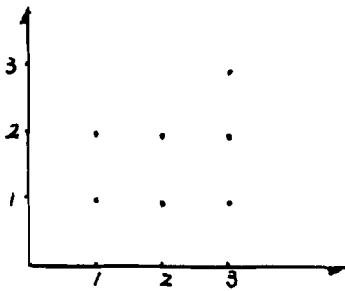
FIGURE 2.1



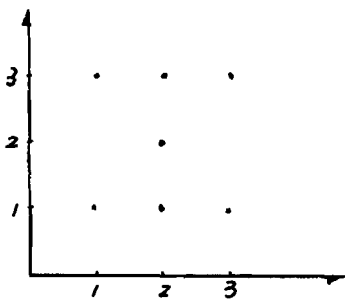
EXAMPLE 2.2 Let  $X = \{1, 2, 3\}$ ,  $\tau = \{\emptyset, X, \{1\}, \{1, 2\}, \{1, 3\}\}$ . Then  $(X, \tau)$  is a topological space. The Pervin quasi-uniform structure  $\mathcal{P}$  for  $(X, \tau)$  is generated by the subbase  $\mathcal{S} = \{\{1\} \times \{1\} \cup \{2, 3\} \times \{1, 2, 3\}, \{1, 2\} \times \{1, 2\} \cup \{3\} \times \{1, 2, 3\}, \{1, 3\} \times \{1, 3\} \cup \{2\} \times \{1, 2, 3\}\}$ . These subbasic elements are illustrated in figure 2.2.



$$S_1 = \{1\} \times \{1\} \cup \{2, 3\} \times \{1, 2, 3\} \in \mathcal{S}$$



$$S_2 = \{1, 2\} \times \{1, 2\} \cup \{3\} \times \{1, 2, 3\} \in \mathcal{S}$$



$$S_3 = \{1, 3\} \times \{1, 3\} \cup \{2\} \times \{1, 2, 3\} \in \mathcal{S}$$

FIGURE 2.2

## CHAPTER III

### SEPARATION AXIOMS

DEFINITION 3.1 A topological space  $(X, \tau)$  is a  $R_0$ -space if and only if for every open set  $O$  in  $\tau$ , containing  $x$  in  $X$ , it follows that  $\bar{x} \subset O$ .

THEOREM 3.1 Every subspace of a  $R_0$ -space is a  $R_0$ -space.

PROOF. Let  $(Y, \sigma)$  be a subspace of  $(X, \tau)$ , and let  $O'$  be an open set in  $Y$  containing  $y$  with  $O' = Y \cap O$ , where  $O$  is open in  $(X, \tau)$ . Then  $y \in O$  and  $Cl_X\{y\} \subset O$ , since  $X$  is a  $R_0$ -space. Now  $Cl_Y\{y\} = Y \cap Cl_X\{y\} \subset Y \cap O = O'$  and  $Y$  is a  $R_0$ -space.

THEOREM 3.2 Let  $(X, \tau)$  be a topological space. Then the following three statements are equivalent.

- (1).  $(X, \tau)$  is a  $R_0$ -space.
- (2). For any closed subset  $A$ , and a point  $x$  not in  $A$  there exists a neighborhood of  $A$  not containing  $x$ .
- (3). If  $x \neq y$ , then either  $\bar{x} = \bar{y}$  or  $\bar{x} \cap \bar{y} = \phi$ .

PROOF. (1)  $\Rightarrow$  (2). Let  $A$  be closed and  $x \in X - A$ . Since  $(X, \tau)$  is

a  $R_0$ -space, it follows that  $\bar{x} \subset X - A$ . Thus  $X - \bar{x}$  is a neighborhood of  $A$  which does not contain the point  $x$ .

(2)  $\implies$  (1). Let  $0$  be an open set containing  $x \in X$ . Let  $y \in \bar{x}$ , then every neighborhood of  $y$  contains  $x$ . Suppose  $y \notin 0$ , then  $y \in X - 0$  and, by (2), there exists a neighborhood containing  $X - 0$ , but not containing  $x$ . This is impossible. Therefore  $y \in 0$  and hence  $\bar{x} \subset 0$ .

(1)  $\implies$  (3). Suppose that  $x \neq y$  and  $\bar{x} \neq \bar{y}$ , then it may be assumed that there exists an  $a \in \bar{x}$  and  $a \notin \bar{y}$ . Now  $x \notin \bar{y}$  for otherwise  $x \in \bar{x} \subset \bar{y}$  and  $a \in \bar{x} \subset \bar{y}$  contradicts the fact that  $a \notin \bar{y}$ . Since  $x \notin \bar{y}$  then  $x \in X - \bar{y}$ . By the  $R_0$  hypothesis, it follows that  $\bar{x} \subset X - \bar{y}$ . Therefore  $\bar{x} \cap \bar{y} = \emptyset$ .

(3)  $\implies$  (1). Let  $0$  be an open set and  $x \in 0$ . Let  $y \in \bar{x}$  and  $y \neq x$ , then by (3), either  $\bar{x} = \bar{y}$ , or  $\bar{x} \cap \bar{y} = \emptyset$ . But the second case is impossible, since  $y \in \bar{x} \cap \bar{y}$ . Thus  $\bar{x} = \bar{y}$  and  $x \in \bar{y}$  and therefore every open set  $0$  containing  $x$  must contain  $y$ . Hence  $\bar{x} \subset 0$ .

**THEOREM 3.3** Let  $(X, \tau)$  be a topological space. Then the following statements are equivalent.

- (1).  $(X, \tau)$  is a  $R_0$ -space.
- (2).  $(X, \tau)$  has a compatible quasi-uniform structure  $\mathcal{U}$  such that for each  $x$  in  $X$  and for each  $U$  in  $\mathcal{U}$  there exists a symmetric  $V \in \mathcal{U}$  with  $V[x] \subset U[x]$ .
- (3).  $(X, \tau)$  has a compatible quasi-uniform structure  $\mathcal{U}$  such that the collection  $\{V[x] : V \text{ symmetric and } V \in \mathcal{U}\}$  forms a

local base at the point  $x \in X$  .

- (4).  $(X, \tau)$  has a compatible quasi-uniform structure  $\mathcal{U}$  such that for each  $x$  in  $X$  and for each  $U$  in  $\mathcal{U}$  there exists a  $V$  in  $\mathcal{U}$  such that  $V^{-1}[x] \subset U[x]$  .
- (5).  $(X, \tau)$  has a compatible quasi-uniform structure  $\mathcal{U}$  such that  $\tau = \tau_{\mathcal{U}} \subset \tau_{\mathcal{U}^{-1}}$  .

PROOF. (1)  $\implies$  (2). Let  $\beta$  be the Pervin quasi-uniform structure for the set  $X$  . For each  $x$  in  $X$  , and each  $U \in \mathcal{U}$  , there exists an open set  $0 \in \tau$  with  $x \in 0 \subset U[x]$  .

Define  $S_0 = 0 \times 0 \cup (X - 0) \times X$  ,

$$S_{\bar{x}^c} = \bar{x}^c \times \bar{x}^c \cup \bar{x} \times X ,$$

$$V = 0 \times 0 \cup \bar{x}^c \times \bar{x}^c .$$

Set  $A = 0 \times 0$  ,

$$B = (X - 0) \times X ,$$

$$C = \bar{x}^c \times \bar{x}^c ,$$

$$D = \bar{x} \times X .$$

Then  $S_0 \cap S_{\bar{x}^c} = (A \cap C) \cup (A \cap D) \cup (B \cap C) \cup (B \cap D)$  ,

where  $A \cap C = (0 - x) \times (0 - x) \subset 0 \times 0 \subset V$  ,

$$A \cap D = \bar{x} \times 0 \subset 0 \times 0 \subset V ,$$

$$B \cap C = (X - 0) \times \bar{x}^c \subset \bar{x}^c \times \bar{x}^c \subset V ,$$

$$B \cap D = \phi .$$

Hence  $S_0 \cap S_{\bar{x}^c} \subset V$  and  $V \in \mathcal{U}$  . Furthermore ,  $V$  is symmetric and

$0 = V[x] \subset U[x]$  .

(2)  $\iff$  (3). For each  $x \in X$ , the collection  $\{ V[x] : V \text{ symmetric and } V \in \mathcal{U} \}$  is a local base at  $x$  if and only if for each  $x \in X$  and each  $U \in \mathcal{U}$ , there exists a symmetric  $V \in \mathcal{U}$  with  $V[x] \subset U[x]$ .

(3)  $\implies$  (4). For each  $x \in X$  and each  $U \in \mathcal{U}$  there exists a symmetric  $V \in \mathcal{U}$  with  $V[x] \subset U[x]$ . Since  $V$  is symmetric it follows that  $V^{-1}[x] = V[x] \subset U[x]$ .

(4)  $\implies$  (5). Let  $\mathcal{U}$  be a compatible quasi-uniform structure for the set  $X$  which satisfies condition (4), then  $t = t_{\mathcal{U}}$ . For each open set  $O \in t_{\mathcal{U}}$ , there exists a  $U$  in  $\mathcal{U}$  with  $x \in U[x] \subset O$ , and by (4) there exists a  $V$  in  $\mathcal{U}$  with  $V^{-1}[x] \subset U[x]$ . Therefore  $x \in V^{-1}[x] \subset U[x] \subset O$ . This implies that  $O$  belongs to  $t_{\mathcal{U}^{-1}}$ . Hence  $t = t_{\mathcal{U}} \subset t_{\mathcal{U}^{-1}}$ .

(5)  $\implies$  (4). For every  $x$  in  $X$  and each  $U$  in  $\mathcal{U}$ ,  $x \in U[x]$ . However,  $U[x]$  is a neighborhood of the point  $x$ , and there exists an open set  $O$  in  $t_{\mathcal{U}}$  such that  $x \in O \subset U[x]$ . But  $O \in t_{\mathcal{U}^{-1}}$ , since  $t_{\mathcal{U}} \subset t_{\mathcal{U}^{-1}}$ . Therefore there exists a  $V^{-1} \in \mathcal{U}^{-1}$  such that  $x \in V^{-1}[x] \subset O \subset U[x]$ .

(4)  $\implies$  (1). The point  $y$  belongs to  $\bar{x}$  if and only if  $U[y] \cap \{x\} \neq \emptyset$  for each  $U \in \mathcal{U}$ , or equivalently,  $x \in U[y]$  for every  $U$  in  $\mathcal{U}$ . That is,  $y \in U^{-1}[x]$  for each  $U$  in  $\mathcal{U}$ . Hence  $\bar{x} = \bigcap \{ U^{-1}[x] : U \in \mathcal{U} \} \subset \bigcap \{ U[x] : U \in \mathcal{U} \}$  by condition (4). This implies that  $\bar{x}$  is contained in every neighborhood of  $x$ . Thus for each open set  $O \in t = t_{\mathcal{U}}$  containing the point  $x \in X$  there exists  $U \in \mathcal{U}$  with  $x \in U[x] \subset O$ . Since  $\bar{x} \subset U[x]$  for each  $U$  in  $\mathcal{U}$  it follows that  $\bar{x} \subset U[x] \subset O$ . Hence  $(X, t)$  is a  $R_0$ -space.

THEOREM 3.4 A quasi-uniform space  $(X, \mathcal{U})$  is  $R_0$  if and only if  $\cap \{U:U \in \mathcal{U}\}$  is symmetric .

PROOF.  $(X, \mathcal{U})$  is a  $R_0$ -space , if and only if for each  $x \in X$  ,  
 $\bar{x} = \cap \{U^{-1}[x]:U \in \mathcal{U}\} \subset \cap \{U[x]:U \in \mathcal{U}\}$  by theorem 3.3 . Now  
 $\cap \{U^{-1}:U \in \mathcal{U}\} \subset \cap \{U:U \in \mathcal{U}\}$  and this is equivalent to the statement  
 that  $\cap \{U:U \in \mathcal{U}\}$  is symmetric .

COROLLARY  $(X, \mathcal{U})$  is a  $R_0$ -space if and only if  $\bar{x} = \cap \{U[x]:U \in \mathcal{U}\}$  .

The proof follows immediately from theorem 3.4 .

THEOREM 3.5 A quasi-uniform space  $(X, \mathcal{U})$  is  $T_0$  if and only if  $\cap \{U:U \in \mathcal{U}\}$  is anti-symmetric .

PROOF. Suppose  $(X, \mathcal{U})$  is  $T_0$ -space . If  $x, y$  are two distinct points in  $X$  , then there exists an open set  $0 \in \tau_{\mathcal{U}}$  which contains one of them but not the other . Suppose that  $x \in 0, y \notin 0$  , then there exists a  $U$  in  $\mathcal{U}$  such that  $x \in U[x] \subset 0$  and  $y \notin U[x]$  . Hence  $x \neq y$  implies that there exists a  $U$  in  $\mathcal{U}$  such that either  $y \notin U[x]$  or  $x \notin U[y]$  . In other words , for each  $U$  in  $\mathcal{U}$  ,  $y \in U[x]$  and  $x \in U[y]$  implies that  $x = y$  . Therefore  $\cap \{U:U \in \mathcal{U}\}$  is anti-symmetric .

If collection  $\cap \{U:U \in \mathcal{U}\}$  is anti-symmetric , then for any two distinct points  $x$  and  $y$  in  $X$  , there exists a  $U$  in  $\mathcal{U}$  with either  $(x,y) \notin U$  or  $(y,x) \notin U$  . Hence either  $y \notin U[x]$  or  $x \notin U[y]$  . Therefore  $X$  must be a  $T_0$ -space .

THEOREM 3.6 A quasi-uniform space  $(X, \mathcal{U})$  is  $T_1$  if and only if  $\Delta = \bigcap \{U : U \in \mathcal{U}\}$ .

PROOF. Suppose  $(X, \mathcal{U})$  is a  $T_1$ -space. Clearly,  $\Delta \subset \bigcap \{U : U \in \mathcal{U}\}$ . Suppose  $x \neq y$ , then there exists a  $U$  in  $\mathcal{U}$  with  $y \notin U[x]$ . Therefore  $(x, y) \notin \bigcap \{U : U \in \mathcal{U}\}$ . Hence  $\bigcap \{U : U \in \mathcal{U}\} \subset \Delta$  and therefore  $\Delta = \bigcap \{U : U \in \mathcal{U}\}$ . The other part of the proof is natural and omitted.

THEOREM 3.7 A quasi-uniform space  $(X, \mathcal{U})$  is  $T_1$  if and only if it is  $T_0$  and  $R_0$ .

PROOF. Let the quasi-uniform space  $(X, \mathcal{U})$  be  $T_1$ , then for every open set  $O$  in  $\mathcal{U}$  containing  $x$  in  $X$ , it follows that  $\bar{x} = \{x\} \subset O$ . Hence  $(X, \mathcal{U})$  is a  $R_0$ -space. Clearly, every  $T_1$ -space is a  $T_0$ -space.

Suppose that  $(X, \mathcal{U})$  is a  $T_0$  and  $R_0$ -space, then, by theorems 3.4 and 3.5, it follows that  $S = \bigcap \{U : U \in \mathcal{U}\}$  is both symmetric and anti-symmetric. Thus  $S = S^{-1}$  and  $S \cap S^{-1} = \Delta$ . Hence  $S = S \cap S^{-1} = \Delta$  and  $(X, \mathcal{U})$  is a  $T_1$ -space by theorem 3.6.

THEOREM 3.8 Let  $\mathcal{U}$  and  $\mathcal{V}$  be two quasi-uniform structures for a set  $X$ . If  $M \subset X \times X$ , then  $\bar{M} = \bigcap \{U \circ M \circ V^{-1} : U \in \mathcal{U}, V \in \mathcal{V}\}$ .

PROOF. The ordered pair  $(x, y) \in \bar{M}$  if and only if for each  $U$  in  $\mathcal{U}$  and for each  $V$  in  $\mathcal{V}$ ,  $U[x] \times V[y] \cap M \neq \emptyset$ . Equivalently, for each  $U$  in  $\mathcal{U}$  and for each  $V$  in  $\mathcal{V}$ , there exists a point  $(a, b) \in M$  such that  $a \in U[x]$ ,

$b \in V[y]$ . This is true, if and only if  $(x,y) \in U \circ M \circ V^{-1}$  for each  $U$  in  $\mathcal{U}$  and for each  $V$  in  $\mathcal{V}$ .

**THEOREM 3.9** A quasi-uniform space  $(X, \mathcal{U})$  is  $T_2$  if and only if  $\Delta = \bigcap \{ U \circ U^{-1} : U \in \mathcal{U} \}$ .

**PROOF.** A topological space is  $T_2$  if and only if  $\Delta$  is closed in  $X \times X$ , that is,  $\Delta = \bar{\Delta}$ . By theorem 3.8,  $\bar{\Delta} = \bigcap \{ U \circ \Delta \circ U^{-1} : U \in \mathcal{U} \}$ , but  $U \circ \Delta \circ U^{-1} = U \circ U^{-1}$  for each  $U \in \mathcal{U}$ . Thus  $(X, \mathcal{U})$  is  $T_2$  if and only if  $\Delta = \bar{\Delta} = \bigcap \{ U \circ U^{-1} : U \in \mathcal{U} \}$ .

**DEFINITION 3.2** A topological space  $(X, t)$  is a  $R_1$ -space if and only if  $x \neq y$  implies that  $\bar{x}$  and  $\bar{y}$  have disjoint neighborhoods.

**THEOREM 3.10** Every subspace of a  $R_1$ -space is a  $R_1$ -space.

**PROOF.** Suppose  $(Y, s)$  is a subspace of  $(X, t)$ , let  $y_1, y_2$  be points in  $Y$ , then  $Cl_Y \{y_1\} = Y \cap Cl_X \{y_1\}$  and  $Cl_Y \{y_2\} = Y \cap Cl_X \{y_2\}$ . If  $Cl_Y \{y_1\} \neq Cl_Y \{y_2\}$ , then  $Cl_X \{y_1\} \neq Cl_X \{y_2\}$ . Since  $(X, t)$  is a  $R_1$ -space, then there exist two disjoint neighborhoods  $N_{y_1}, N_{y_2}$  containing  $Cl_X \{y_1\}, Cl_X \{y_2\}$  respectively. That is, there exist open sets  $O_1$  and  $O_2$  such that  $Cl_X \{y_1\} \subset O_1 \subset N_{y_1}$ , and  $Cl_X \{y_2\} \subset O_2 \subset N_{y_2}$ . This implies that  $Cl_Y \{y_1\} = Y \cap Cl_X \{y_1\} \subset Y \cap O_1 \subset Y \cap N_{y_1}$  and  $Cl_Y \{y_2\} = Y \cap Cl_X \{y_2\} \subset Y \cap O_2 \subset Y \cap N_{y_2}$ . Now,  $(Y \cap N_{y_1}) \cap (Y \cap N_{y_2}) = Y \cap (N_{y_1} \cap N_{y_2}) = \phi$ .



Hence  $\text{Cl}_Y \{y_1\}$ ,  $\text{Cl}_Y \{y_2\}$  have disjoint neighborhoods  $Y \cap N_{y_1}$  and  $Y \cap N_{y_2}$ .  
Therefore the subspace  $(Y, s)$  is a  $R_1$ -space.

**THEOREM 3.11** A  $R_1$ -space  $(X, t)$  is a  $R_0$ -space.

**PROOF.** Let  $O$  be any open set in  $t$  which contains  $x \in X$ , and let  $y \in X - O$ , then  $\bar{y} \subset X - O$  and  $x \notin \bar{y}$ . Hence  $\bar{x} \neq \bar{y}$ . Since  $(X, t)$  is a  $R_1$ -space, there exist disjoint neighborhoods  $N_{\bar{x}}$ ,  $N_{\bar{y}}$  such that  $\bar{x} \subset N_{\bar{x}}$ ,  $\bar{y} \subset N_{\bar{y}}$ . Therefore  $y \notin \bar{x}$  and  $\bar{x} \subset O$ . Hence  $(X, t)$  is a  $R_0$ -space.

**THEOREM 3.12** The following three statements are equivalent.

- (1).  $(X, t)$  is a  $R_1$ -space.
- (2). For any points  $x, y$  in  $X$ ,  $\bar{x} \neq \bar{y}$  implies that  $x$  and  $y$  have disjoint neighborhoods.
- (3).  $\nabla = \{(x, y) : \bar{x} = \bar{y}\} = \bar{\Delta}$ .

**PROOF.** (1)  $\implies$  (2). For any points  $x, y$  in  $X$ , if  $\bar{x} \neq \bar{y}$ , then there exist disjoint neighborhoods  $N_{\bar{x}}$  and  $N_{\bar{y}}$  of  $\bar{x}$  and  $\bar{y}$ , respectively. Since  $x \in \bar{x}$  and  $y \in \bar{y}$ , there exist disjoint neighborhoods of  $x$  and  $y$ .

(2)  $\implies$  (3). For any open set  $O_x$  containing  $x$ , and for any point  $z \in X - O_x$ , then  $\bar{z} \subset X - O_x$ . Hence  $x \notin \bar{z}$  and  $x, z$  have disjoint neighborhoods  $N_x$  and  $N_z$  respectively. This implies that  $z \in \bar{x}$  and  $\bar{x} \subset O_x$ .

Let  $(x, y) \in \nabla$ , then  $\bar{x} = \bar{y}$ . Let  $O_x, O_y$  be arbitrary open sets of

$x$  and  $y$ , respectively. Then  $(x,x) \in \bar{x} \times \bar{y} \subset 0_x \times 0_y$  and  $0_x \times 0_y \cap \Delta \neq \phi$ . This implies that  $(x,y) \in \mathcal{A}$  and  $\nabla \subset \bar{\Delta}$ . On the other hand, let  $(x,y) \in \bar{\Delta}$ , then  $0_x \times 0_y \cap \Delta \neq \phi$  for any open sets  $0_x$  and  $0_y$  of  $x$  and  $y$ , respectively. That is to say,  $0_x \cap 0_y \neq \phi$  for any open sets  $0_x$  and  $0_y$  of  $x$  and  $y$ , respectively. Therefore, by (2),  $\bar{x} = \bar{y}$  and hence  $(x,y) \in \nabla$ .

(3)  $\implies$  (1). For any point  $x, y$  in  $X$ , if  $\bar{x} \neq \bar{y}$ , then  $(x,y) \notin \nabla$ . That is  $(x,y)$  in  $X - \nabla = X - \bar{\Delta}$  which is open in the product topology of  $X \times X$ . Hence there exist open sets  $0_x, 0_y$  of  $x$  and  $y$  respectively with  $(x,y) \in 0_x \times 0_y \subset X - \nabla = X - \bar{\Delta}$ . Therefore  $0_x \cap 0_y \cap \Delta = \phi$  and  $0_x \cap 0_y = \phi$ . Hence  $(X, \tau)$  is a  $R_1$ -space.

**THEOREM 3.13** A quasi-uniform space  $(X, \mathcal{U})$  is  $R_1$  if and only if  $\nabla = \cap \{U \circ U^{-1} : U \in \mathcal{U}\}$ .

**PROOF.** By theorem 3.12,  $(X, \mathcal{U})$  is  $R_1$  if and only if  $\nabla = \bar{\Delta}$ .  $\bar{\Delta} = \cap \{U \circ \Delta \circ U^{-1} : U \in \mathcal{U}\} = \cap \{U \circ U^{-1} : U \in \mathcal{U}\}$  by theorem 3.7. Thus  $(X, \mathcal{U})$  is  $R_1$  if and only if  $\nabla = \cap \{U \circ U^{-1} : U \in \mathcal{U}\}$ .

**THEOREM 3.14** A quasi-uniform  $(X, \mathcal{U})$  is  $T_2$  if and only if it is  $T_1$  and  $R_1$ .

**PROOF.** It is well-known fact that every  $T_2$ -space is also a  $T_1$ -space. Let  $(X, \mathcal{U})$  be a  $T_2$ -space, then  $\bar{x} \neq \bar{y}$  implies that  $x \neq y$  since  $\bar{x} = x$ ,

and  $\bar{y} = y$ . Since  $(X, \mathcal{U})$  is  $T_2$ , then there exist disjoint neighborhoods  $N_x$  and  $N_y$  of  $x, y$  respectively. Hence  $x, y$  have disjoint neighborhoods  $N_x$  and  $N_y$ . Therefore  $(X, \mathcal{U})$  is a  $R_1$ -space.

Let  $(X, \mathcal{U})$  be a  $T_1$  and  $R_1$ -space. Clearly,  $\Delta \subset \nabla$ . Let  $(x, y) \in \nabla$ , then  $\bar{x} = \bar{y}$  and, since  $X$  is  $T_1$ ,  $x = y$ . Therefore,  $\Delta = \nabla$  and  $\nabla = \tilde{\Delta}$ , since  $X$  is  $R_1$  by theorem 3.12. Thus  $\Delta = \cap \{U \circ U^{-1} : U \in \mathcal{U}\}$  and by theorem 3.9  $(X, \mathcal{U})$  is a  $T_2$ -space.

## CHAPTER IV

### COMPLETENESS AND COMPACTNESS

DEFINITION 4.1 Let  $X$  be a non-empty set , then a non-empty family  $\mathcal{F}$  of subsets of  $X$  is a filter on  $X$  if and only if

- (1).  $\emptyset \notin \mathcal{F}$  ,
- (2).  $F_1, F_2 \in \mathcal{F} \Rightarrow F_1 \cap F_2 \in \mathcal{F}$  ,
- (3).  $F_i \in \mathcal{F}$  and  $F_i \subset F \Rightarrow F \in \mathcal{F}$  .

DEFINITION 4.2 A collection  $\mathcal{B}$  of subsets of the set  $X$  is said to be a base for a filter  $\mathcal{F}$  on  $X$  if and only if  $\mathcal{F} = \{ E : B \subset E \text{ for some } B \in \mathcal{B} \}$  .

DEFINITION 4.3 A collection  $\mathcal{S}$  of subsets of the set  $X$  is said to be a subbase for a filter  $\mathcal{F}$  on  $X$  if and only if the collection of all finite intersection members of  $\mathcal{S}$  is a base for the filter  $\mathcal{F}$  .

EXAMPLE 4.1 Let  $(X, \tau)$  be a topological space and let  $x$  be a fixed point of  $X$  , then the set  $S_x = \{ N_x : x \in O_x \subset N_x, \text{ for some } O_x \in \tau \}$  is a filter on  $X$  . This is called the neighborhood filter of the point  $x$  .

EXAMPLE 4.2 Let  $X$  be a non-empty set , and let  $x$  be a fixed point of

$X$  , then the collection  $S_X = \{ N : x \in N \subset X \}$  is a filter on  $X$  .

EXAMPLE 4.3 Let  $X$  be a non-empty set and let  $A$  be a non-empty subset of  $X$  . Then the collection  $S_A = \{ N : A \subset N \subset X \}$  is a filter on  $X$  .

EXAMPLE 4.4 Let  $(X, \tau)$  be a topological space . The collection  $\mathcal{F}$  of all neighborhoods of an arbitrary non-empty subset  $A$  of  $X$  is a filter , called the neighborhood filter of  $A$  .

EXAMPLE 4.5 Let  $X$  be an infinite set , then the set  $\mathcal{F} = \{ F : X - F \text{ is finite in } X \}$  is a filter on  $X$  .

EXAMPLE 4.6 If  $X \neq \emptyset$  , then  $\mathcal{F} = \{ X \}$  is a filter on  $X$  .

THEOREM 4.1 Let  $\mathcal{A}$  be a collection of subsets of  $X$  , then there exists a filter  $\mathcal{F}$  on  $X$  which contains  $\mathcal{A}$  if and only if  $\mathcal{A}$  has the finite intersection property .

PROOF. The proof of this theorem is immediately from definitions 4.1 and 4.3 .

THEOREM 4.2 Let  $f$  be a function from  $X$  onto  $Y$  , and  $\mathcal{F}$  be a filter on  $Y$  , then  $f^{-1}(\mathcal{F}) = \{ f^{-1}(F) : F \in \mathcal{F} \}$  is a filter on  $X$  .

PROOF.  $\emptyset \notin f^{-1}(\mathcal{F})$  , since  $\emptyset \notin \mathcal{F}$  and  $f$  is an onto function . Let

$f^{-1}(F_1)$  ,  $f^{-1}(F_2) \in f^{-1}(\mathcal{F})$  . Then  $f^{-1}(F_1) \cap f^{-1}(F_2) = f^{-1}(F_1 \cap F_2)$  which is in  $f^{-1}(\mathcal{F})$  , since  $F_1 \cap F_2 \in \mathcal{F}$  . If  $f^{-1}(F) \in f^{-1}(\mathcal{F})$  and  $f^{-1}(F) \subset A$  then  $F \subset f(A) \in \mathcal{F}$  . Therefore  $A \in f^{-1}(\mathcal{F})$  and  $f^{-1}(\mathcal{F})$  is a filter on  $X$  .

**THEOREM 4.3** Let  $f$  be a function from  $X$  onto  $Y$  , and let  $\mathcal{F}$  be a filter on  $X$  , then  $f(\mathcal{F}) = \{ f(F) : F \in \mathcal{F} \}$  is a filter on  $Y$  .

**PROOF.**  $\emptyset \notin f(\mathcal{F})$  , otherwise  $\emptyset = f(F)$  for some  $F \in \mathcal{F}$  which is impossible . Let  $f(F_1)$  ,  $f(F_2) \in f(\mathcal{F})$  . Then  $f(F_1 \cap F_2) \subset f(F_1) \cap f(F_2)$  . This implies that  $F_1 \cap F_2 \subset f^{-1}(f(F_1 \cap F_2)) \subset f^{-1}(f(F_1) \cap f(F_2)) \in \mathcal{F}$  . Since  $f$  is onto ,  $f(F_1) \cap f(F_2) = f(f^{-1}(f(F_1) \cap f(F_2)))$  . Hence ,  $f(F_1) \cap f(F_2) \in f(\mathcal{F})$  . If  $f(F) \in f(\mathcal{F})$  and  $f(F) \subset A$  , then  $F \subset f^{-1}(A) \in \mathcal{F}$  , and  $f^{-1}(A) \in \mathcal{F}$  . Since  $f$  is onto ,  $A = f(f^{-1}(A))$  and hence  $A \in f(\mathcal{F})$  .

**COROLLARY.** Let  $f$  be a function from  $X$  onto  $Y$  , and let  $\mathcal{B}$  be a filter base on  $X$  , then  $f(\mathcal{B}) = \{ f(B) : B \in \mathcal{B} \}$  is a filter base on  $Y$  .

**DEFINITION 4.4** An ultrafilter  $\mathcal{F}$  on a set  $X$  is a filter on  $X$  which is maximal in the collection of all filters partially ordered by inclusion ; that is to say , a filter which is not properly contained in any other filter .

**EXAMPLE 4.7** Let  $X$  be a non-empty set and let  $a$  be fixed point of  $X$  , then the collection  $\mathcal{F} = \{ F : a \in F \subset X \}$  is an ultrafilter . This follows

since if  $\mathcal{S}$  is a filter with  $\mathcal{F} \subset \mathcal{S}$  and  $\mathcal{F} \neq \mathcal{S}$ , then there exists a  $S \in \mathcal{S}$ ,  $S \notin \mathcal{F}$ . This implies that  $a \notin S$ . However  $\{a\} \in \mathcal{F} \subset \mathcal{S}$ . Thus  $\{a\} \cap S = \emptyset \in \mathcal{S}$  which is a contradiction.

**ZORN'S LEMMA.** Let  $X$  be a non-empty partially ordered set such that every linearly ordered subset has an upper bound, then  $X$  contains a maximal element.

**THEOREM 4.4** If  $\mathcal{F}$  is any filter on a set  $X$ , then there exists an ultrafilter finer than  $\mathcal{F}$ .

**PROOF.** Let  $\mathcal{F}$  be a filter on a set  $X$ , and let  $\mathcal{A}$  be the collection of all filters containing  $\mathcal{F}$ . Then  $\mathcal{A}$  is non-empty set, since  $\mathcal{F} \in \mathcal{A}$ , and is partially ordered by inclusion. Let  $L$  be a linearly ordered subset of  $\mathcal{A}$ , then for any pair  $\mathcal{F}_1, \mathcal{F}_2 \in L$ , it follows that either  $\mathcal{F}_1 \subset \mathcal{F}_2$  or  $\mathcal{F}_2 \subset \mathcal{F}_1$ . Let  $H$  be a set defined by  $H = \{E : E \in \mathcal{F} \in L\}$ , then  $H$  is a filter containing every filter in  $L$ . This is true because it satisfies the following three properties.

- (1).  $\emptyset \notin H$ , since no filter  $\mathcal{F}$  in  $L$  contains  $\emptyset$ .
- (2). Let  $E_1, E_2 \in H$ , then there exist filters  $\mathcal{F}_1, \mathcal{F}_2$  in  $L$  such that  $E_1 \in \mathcal{F}_1, E_2 \in \mathcal{F}_2$ . Since  $L$  is a linearly ordered set, then either  $\mathcal{F}_1 \subset \mathcal{F}_2$  or  $\mathcal{F}_2 \subset \mathcal{F}_1$  and hence either  $E_1 \in \mathcal{F}_2, E_1 \cap E_2 \in \mathcal{F}_2$  or  $E_2 \in \mathcal{F}_1, E_1 \cap E_2 \in \mathcal{F}_1$ . In both cases  $E_1 \cap E_2 \in H$  for every pair  $E_1, E_2 \in H$ .

- (3). Let  $E_1 \subset E$ , and  $E_1 \in H$ , then there exists a filter  $\mathcal{F}_1 \in L$  with  $E_1 \in \mathcal{F}_1$ . Since  $E_1 \subset E$ , hence  $E \in \mathcal{F}_1 \in L$  and hence  $E \in H$ .

Thus  $H$  is a filter which is finer than any other filters in  $L$ . Therefore  $\mathcal{L}$  is a non-empty partially ordered set such that every linearly ordered subset  $L$  has an upper bound  $H$ , then  $\mathcal{L}$  contains a maximal element by Zorn's Lemma. This maximal element is by definition an ultrafilter finer than  $\mathcal{F}$ .

**THEOREM 4.5** Let  $X$  be a non-empty set and  $\mathcal{F}$  be a filter on  $X$ . The following three statements are equivalent.

- (1).  $\mathcal{F}$  is an ultrafilter on  $X$ .
- (2). If  $A \cup B \in \mathcal{F}$  then either  $A \in \mathcal{F}$  or  $B \in \mathcal{F}$ .
- (3). If  $E \subset X$ , then either  $E \in \mathcal{F}$  or  $X - E \in \mathcal{F}$ .

**PROOF.** (1)  $\Rightarrow$  (2). Let  $\mathcal{F}$  be an ultrafilter on  $X$ . Suppose condition (2) is not true, then there exist subsets  $A, B$  in  $X$ , such that  $A \notin \mathcal{F}$  and  $B \notin \mathcal{F}$  and  $A \cup B \in \mathcal{F}$ . Let  $\mathcal{L}$  be defined as follows,

$$\mathcal{L} = \{ E \subset X : A \cup E \in \mathcal{F} \}.$$

Then  $\mathcal{L}$  is a filter on  $X$ . This is true because it satisfies the following three properties.

- (a).  $\emptyset \notin \mathcal{L}$  since  $B \in \mathcal{L}$ .
- (b). If  $E_1$  and  $E_2$  belong to  $\mathcal{L}$ , then  $A \cup E_1 \in \mathcal{F}$  and  $A \cup E_2 \in \mathcal{F}$ .  
Now  $(A \cup E_1) \cap (A \cup E_2) \in \mathcal{F}$ , therefore  $A \cup (E_1 \cap E_2) \in \mathcal{F}$  and



$$E_1 \cap E_2 \in \mathcal{G}.$$

(c). If  $E_1 \in \mathcal{G}$  and  $E_1 \subset E$ , then  $A \cup E_1 \subset A \cup E$ . Hence  $A \cup E \in \mathcal{F}$  and  $E \in \mathcal{G}$ .

Since  $B \in \mathcal{G}$  and  $B \notin \mathcal{F}$ , it follows that  $\mathcal{G}$  is a filter strictly finer than  $\mathcal{F}$ . This contradicts the fact that  $\mathcal{F}$  is an ultrafilter. Hence for every pairs of subsets  $A, B$  in  $X$ , with  $A \cup B$  in an ultrafilter  $\mathcal{F}$  it follows that either  $A \in \mathcal{F}$  or  $B \in \mathcal{F}$ .

(2)  $\Rightarrow$  (3). If  $E \subset X$ , then  $E \cup (X - E) = X \in \mathcal{F}$ . By condition (2), it follows that either  $E \in \mathcal{F}$  or  $X - E \in \mathcal{F}$ .

(3)  $\Rightarrow$  (1). By theorem 4.4,  $\mathcal{F}$  is contained in an ultrafilter  $\mathcal{F}'$ . For each subset  $E$  in  $\mathcal{F}'$ ,  $X - E \notin \mathcal{F}'$ . Hence  $X - E \notin \mathcal{F}$  and by condition (3) it follows that  $E \in \mathcal{F}$ . This implies that  $\mathcal{F}' \subset \mathcal{F}$ . Since  $\mathcal{F}'$  is an ultrafilter, then  $\mathcal{F}' = \mathcal{F}$ . Therefore  $\mathcal{F}$  is an ultrafilter.

DEFINITION 4.5 Let  $(X, \tau)$  be a topological space, and let  $\mathcal{F}$  be a filter on  $X$ . A point  $x \in X$  is called a limit point of  $\mathcal{F}$ , denoted by  $x \in \lim \mathcal{F}$ , if and only if every neighborhood  $N_x$  of  $x$  belongs to  $\mathcal{F}$ .

DEFINITION 4.6 Let  $(X, \tau)$  be a topological space, and let  $\mathcal{F}$  be a filter on  $X$ . A point  $x \in X$  is an adherence point of the filter  $\mathcal{F}$ , denoted by  $x \in \text{adh } \mathcal{F}$ , if and only if for every  $F \in \mathcal{F}$  and for every neighborhood  $N_x$  of  $x$ ,  $N_x \cap F \neq \emptyset$ .

THEOREM 4.6 Let  $\mathcal{F}$  be a filter on  $(X, \tau)$ , then  $x$  is an adherence

point if and only if  $x \in \bigcap \{ \bar{F} : F \in \mathcal{F} \}$ .

PROOF. Let  $x$  be an adherence point of  $\mathcal{F}$ , then for every  $F \in \mathcal{F}$  and for every neighborhood  $N_x$  of  $x$ , it follows that  $N_x \cap F \neq \emptyset$ . That is to say, for every  $F \in \mathcal{F}$ ,  $x \in \bar{F}$ . Hence  $x \in \bigcap \{ \bar{F} : F \in \mathcal{F} \}$ .

On the other hand, if  $x \in \bigcap \{ \bar{F} : F \in \mathcal{F} \}$ , then  $x \in \bar{F}$  for every  $F \in \mathcal{F}$ . That is for every  $F \in \mathcal{F}$ , and for every neighborhood  $N_x$  of  $x$ ,  $N_x \cap F \neq \emptyset$ . Hence  $x$  is an adherence point of  $\mathcal{F}$ .

DEFINITION 4.7 Let  $\{x_n\}_1^\infty$  be a given sequence, and set  $F_k = \{x_i : i \geq k\}$ . Then the collection  $\{F_k : k = 1, 2, \dots\}$  is a filter base. The generated filter  $\mathcal{F}$  will be called the natural filter generated by the given sequence.

Let  $\{x_n\}_1^\infty$  be a sequence of real numbers and let  $\mathcal{F}$  be the natural filter generated by the sequence. The point  $x$  is a limit point of  $\mathcal{F}$  if and only if every neighborhood  $N_x$  of  $x$  belongs to  $\mathcal{F}$  and this is true provided every open set of the form  $(x - \epsilon, x + \epsilon)$  containing  $x$  belongs to  $\mathcal{F}$ . Or equivalently, for every  $\epsilon > 0$  there exists  $F$  in  $\mathcal{F}$  containing  $x$  such that  $F \subset (x - \epsilon, x + \epsilon)$ , that is for every  $\epsilon > 0$  there exists an integer  $k > 0$  such that  $F_k \subset (x - \epsilon, x + \epsilon)$  where  $F_k = \{x_k, x_{k+1}, \dots\}$ . Hence for every  $\epsilon > 0$  there exists an integer  $k > 0$  such that for  $n > k$ ,  $x_n \in (x - \epsilon, x + \epsilon)$ . Thus  $x \in \lim \mathcal{F}$  if and only if  $\lim_{n \rightarrow \infty} x_n = x$ .

The point  $x$  is an adherence point of a filter  $\mathcal{F}$  on  $X$ , if and only if for every neighborhood  $N_x$  of  $x$  and for every  $F \in \mathcal{F}$ ,  $N_x \cap F \neq \emptyset$ , or equivalently if every open set of the form  $(x - \epsilon, x + \epsilon)$  intersects every member  $F$  in  $\mathcal{F}$ . That is, for every  $\epsilon > 0$  and for any integer  $k > 0$ , then  $(x - \epsilon, x + \epsilon) \cap F_k \neq \emptyset$ . And this is equivalent to the statement that for every  $\epsilon > 0$ , and for every integer  $k > 0$  there exists a  $n > k$  such that  $x_n \in (x - \epsilon, x + \epsilon)$ . Hence  $x \in \text{adh } \mathcal{F}$ , if and only if  $x$  is a cluster point of the sequence.

In examples 4.8 through 4.15, sequences are considered in the set of real numbers with the usual topology.

EXAMPLE 4.8 The sequence  $\{n\}_1^\infty$  generates a filter  $\mathcal{F}$  with  $\lim \mathcal{F} = \emptyset$  and  $\text{adh } \mathcal{F} = \emptyset$ .

EXAMPLE 4.9 The sequence  $\{1/n\}_1^\infty$  generates a filter  $\mathcal{F}$  with  $\lim \mathcal{F} = \{0\}$  and  $\text{adh } \mathcal{F} = \{0\}$ .

EXAMPLE 4.10 The sequence  $\{(-1)^n\}_1^\infty$  generates a filter  $\mathcal{F}$ , with  $\lim \mathcal{F} = \emptyset$  and  $\text{adh } \mathcal{F} = \{-1, 1\}$ .

EXAMPLE 4.11 Let  $\{2+n/n+5\}_1^\infty$  be a given sequence which generates a filter  $\mathcal{F}$ , then  $\lim \mathcal{F} = \{1\}$  and  $\text{adh } \mathcal{F} = \{1\}$ .

EXAMPLE 4.12 Let  $\{(-1)^n(n+1/n)\}_1^\infty$  be a sequence which generates a

filter  $\mathcal{F}$  , then  $\lim \mathcal{F} = \emptyset$  and  $\text{adh } \mathcal{F} = \{-1, 1\}$  .

EXAMPLE 4.13 Let  $\{(-1)^n(1/n)\}_1^\infty$  be a sequence which generates a filter  $\mathcal{F}$  , then  $\lim \mathcal{F} = \{0\}$  , and  $\text{adh } \mathcal{F} = \{0\}$  .

EXAMPLE 4.14 Let  $\{x_n\}_1^\infty$  be defined by

$$x_n = \begin{cases} n+1 & \text{when } n \text{ is even ,} \\ 1/n & \text{when } n \text{ is odd .} \end{cases}$$

Let  $\mathcal{F}$  denote the natural filter generated by this sequence . Then

$\lim \mathcal{F} = \emptyset$  and  $\text{adh } \mathcal{F} = \{0\}$  .

EXAMPLE 4.15 The sequence  $\{1, 1/2, 1, 1/3, \dots\}$  generates a filter  $\mathcal{F}$  with  $\lim \mathcal{F} = \emptyset$  and  $\text{adh } \mathcal{F} = \{1, 0\}$  .

THEOREM 4.7 Let  $(X, \tau)$  be a topological space , and let  $\mathcal{F}$  be a filter on  $X$  , then every limit point of  $\mathcal{F}$  is an adherence point of  $\mathcal{F}$  .

PROOF. Let  $x \in \lim \mathcal{F}$  , then every neighborhood  $N_x$  of  $x$  belongs to  $\mathcal{F}$  . Therefore  $N_x \cap F \neq \emptyset$  for every neighborhood  $N_x$  of  $x$  and for every  $F$  in  $\mathcal{F}$  . Hence  $x \in \text{adh } \mathcal{F}$  .

THEOREM 4.8 Let  $(X, \tau)$  be a topological space , and let  $\mathcal{F}$  be a filter on  $X$  , then  $x \in \text{adh } \mathcal{F}$  if and only if  $x \in \lim \mathcal{F}'$  for some  $\mathcal{F}'$  finer than  $\mathcal{F}$  .

PROOF. Let  $x \in \text{adh } \mathcal{F}$ , then, by definition, for every neighborhood  $N_x$  of  $x$  and for every  $F \in \mathcal{F}$ ,  $N_x \cap F \neq \emptyset$ . Let  $\mathcal{B} = \{ N_x \cap F : N_x \text{ is a neighborhood of } x, F \in \mathcal{F} \}$ . Then  $\mathcal{B}$  is a base for a filter  $\mathcal{F}'$  and  $x \in \text{lim } \mathcal{F}'$ .

On the other hand, let  $\mathcal{F}'$  be a filter finer than  $\mathcal{F}$  and let  $x \in \text{lim } \mathcal{F}'$ . Then, by theorem 4.7,  $x \in \text{adh } \mathcal{F}'$ . Hence  $x \in \text{adh } \mathcal{F}$ .

THEOREM 4.9 Let  $(X, \tau)$  be a topological space. If  $A \subset X$  is closed then  $\text{adh } \mathcal{F} \subset A$  for every filter containing  $A$ .

PROOF. Let  $\mathcal{F}$  be a filter containing the set  $A$ . Then  $\text{adh } \mathcal{F} \equiv \bigcap \{ \bar{F} : F \in \mathcal{F} \} \subset \bar{A} = A$ .

THEOREM 4.10 Let  $(X, \tau)$  be a topological space. Then  $(X, \tau)$  is  $T_2$  if and only if every filter has at most one limit point.

PROOF. Let  $\mathcal{F}$  be any filter on a  $T_2$ -space  $(X, \tau)$ , and let  $x, y$  are distinct limit points of  $\mathcal{F}$ . Then there are two disjoint open sets  $O_1$  and  $O_2$  containing  $x$  and  $y$  respectively. Since  $x, y$  are limit points of  $\mathcal{F}$ , then  $O_1, O_2$  are members of  $\mathcal{F}$ . This implies  $\emptyset = O_1 \cap O_2 \in \mathcal{F}$  which is impossible. Hence the filter  $\mathcal{F}$  has at most one limit point.

Suppose the condition holds and  $(X, \tau)$  is not  $T_2$ . Then there exist two points  $x, y$  in  $X$  such that any open sets  $O_x, O_y$  in  $\tau$  containing  $x$  and  $y$  respectively, have a non-empty intersection.

Therefore , the collection  $\mathcal{B} = \{ O_x \cap O_y : x \in O_x \in \tau , y \in O_y \in \tau \}$  has the finite intersection property and hence generate a filter  $\mathcal{F}$  on  $X$  .  
 However , the filter  $\mathcal{F}$  has two distinct limit points  $x$  and  $y$  which contradicts the hypothesis . Therefore  $( X , \tau )$  must be a  $T_2$ -space .

**THEOREM 4.11** Let  $( X , \tau )$  be a topological space , then the following statements are equivalent .

- (1).  $( X , \tau )$  is compact .
- (2). Every non-empty collection of closed sets with the finite intersection property has a non-empty intersection .
- (3). Every filter has a non-empty adherence .
- (4). Every ultrafilter has a non-empty limit .

**PROOF.** (1)  $\Leftrightarrow$  (2).  $( X , \tau )$  is compact if and only if every open cover has a finite subcover . That is , every collection of closed sets with an empty intersection has a finite subcollection with an empty intersection . Or equivalently , every non-empty collection of closed sets with the finite intersection property has a non-empty intersection .

(2)  $\Rightarrow$  (3). Suppose condition (2) is true , then  $\emptyset \neq \bigcap \{ \bar{F} : F \in \mathcal{F} \}$   
 $= \text{adh } \mathcal{F}$  , where  $\mathcal{F}$  is arbitrary filter on  $X$  .

(3)  $\Rightarrow$  (4). Suppose condition (3) is true . Then every ultrafilter  $\mathcal{F}$  on  $X$  has a non-empty adherence . Therefore by theorem 4.8 every ultrafilter has a non-empty limit .

(4)  $\Rightarrow$  (2). Let  $\mathcal{C}$  be a non-empty collection of closed subsets of

$X$  with the finite intersection property . Then  $\mathcal{C}$  generates a filter  $\mathcal{F}$  on  $X$  which is contained in an ultrafilter  $\mathcal{F}'$  . By condition (4) , it follows that  $\text{adh } \mathcal{F} \neq \emptyset$  . Now  $\text{adh } \mathcal{F} \equiv \bigcap \{ \bar{F} : F \in \mathcal{F} \} \subset \bigcap \{ A : A \in \mathcal{C} \}$  . Hence  $\bigcap \{ A : A \in \mathcal{C} \} \neq \emptyset$  .

DEFINITION 4.8 Let  $( X , \mathcal{U} )$  be a quasi-uniform space ,  $( X , \mathcal{U} )$  is totally bounded if and only if for each  $U$  in  $\mathcal{U}$  there exist finite number of subsets  $A_1 , A_2 , \dots , A_n$  such that

- (1).  $\bigcup \{ A_i : 1 \leq i \leq n \} = X$  ,
- (2).  $A_i \times A_i \subset U$  , for each  $1 \leq i \leq n$  .

DEFINITION 4.9 Let  $( X , \mathcal{U} )$  be a quasi-uniform space ,  $( X , \mathcal{U} )$  is pre-compact if and only if for each  $U$  in  $\mathcal{U}$  there exists a finite set  $A = \{ x_1 , x_2 , x_3 , \dots , x_n \} \subset X$  such that  $U(A) = X$  .

THEOREM 4.12 If a quasi-uniform space  $( X , \mathcal{U} )$  is totally bounded then it is pre-compact .

PROOF. Let  $( X , \mathcal{U} )$  be a totally bounded quasi-uniform space . Let  $U \in \mathcal{U}$  . Then there exist a finite number of subsets  $A_1 , A_2 , \dots , A_n$  such that  $\bigcup \{ A_i : 1 \leq i \leq n \} = X$  and  $A_i \times A_i \subset U$  for each  $1 \leq i \leq n$  . Let  $x_i \in A$  ,  $1 \leq i \leq n$  , then  $U\{x_i\} = A_i$  for each  $i$  and  $\bigcup \{ U\{x_i\} : 1 \leq i \leq n \} \supset \bigcup \{ A_i : 1 \leq i \leq n \} \supset X$  . Therefore  $( X , \mathcal{U} )$  is pre-compact .

**THEOREM 4.13** In a uniform space , totally boundedness and pre-compactness are equivalent .

**PROOF.** Let  $(X, \mathcal{U})$  be a pre-compact uniform space . For each  $U$  in  $\mathcal{U}$  there exists a symmetric  $V$  in  $\mathcal{U}$  such that  $V \circ V \subset U$  . Since  $(X, \mathcal{U})$  is pre-compact , there exists a finite set  $A = \{x_1, x_2, \dots, x_n\}$  such that  $\cup \{V[x_i] : 1 \leq i \leq n\} = X$  . Let  $A_i = V[x_i]$  ,  $1 \leq i \leq n$  . For each ordered pair  $(y, z)$  in  $A_i \times A_i$  ,  $1 \leq i \leq n$  ,  $(x_i, y) \in V$  and  $(x_i, z) \in V$  . Then  $(y, z) \in V^{-1} \circ V = V \circ V \subset U$  . Hence  $A_i \times A_i \subset U$  for each  $U$  in  $\mathcal{U}$  and  $1 \leq i \leq n$  . Since  $\cup \{V[x_i] : 1 \leq i \leq n\} = X$  , it follows that  $\cup \{A_i : 1 \leq i \leq n\} = X$  . Hence every pre-compact uniform space is a totally bounded uniform space . The proof is now completed by theorem 4.12 .

**THEOREM 4.14** Every topological space has a compatible totally bounded quasi-uniform structure .

**PROOF.** Let  $(X, \tau)$  be a topological space and let  $\mathcal{P}$  be the compatible Pervin quasi-uniform structure . The Pervin quasi-uniform structure has a totally bounded subbase and hence it is totally bounded .

**THEOREM 4.15** The inverse image of a totally bounded quasi-uniform structure is totally bounded .

The proof of this theorem is natural and omitted .

**THEOREM 4.16** A quasi-uniform space  $(X, \mathcal{U})$  is totally bounded if



and only if  $(X, \mathcal{U}^{-1})$  is totally bounded .

PROOF.  $(X, \mathcal{U})$  is totally bounded if and only if for each  $U$  in  $\mathcal{U}$  there exist  $A_1, A_2, \dots, A_n$  such that  $A_i \times A_i \subset U$  for each  $1 \leq i \leq n$  and  $\cup \{A_i : 1 \leq i \leq n\} = X$  . Or equivalently , for each  $U^{-1} \in \mathcal{U}^{-1}$  there exists  $A_1, A_2, \dots, A_n$  such that  $A_i \times A_i = (A_i \times A_i)^{-1} \subset U^{-1}$  for  $1 \leq i \leq n$  and  $\cup \{A_i : 1 \leq i \leq n\} = X$  . Hence  $(X, \mathcal{U})$  is totally bounded if and only if  $(X, \mathcal{U}^{-1})$  is totally bounded .

DEFINITION 4.10 Let  $(X, \mathcal{U})$  be a quasi-uniform space . A filter  $\mathcal{F}$  on  $(X, \mathcal{U})$  is said to be a Cauchy filter if and only if for each  $U$  in  $\mathcal{U}$  there exists a point  $x$  in  $X$  such that  $U(x) \in \mathcal{F}$  .

DEFINITION 4.11 A filter base  $\mathcal{B}$  is said to be a Cauchy filter base if and only if the generated filter  $\mathcal{F}$  is Cauchy .

THEOREM 4.17 Let  $(X, \mathcal{U})$  be a quasi-uniform space . Then  $(X, \mathcal{U})$  is pre-compact if and only if every ultrafilter  $\mathcal{F}$  on  $X$  is a Cauchy filter .

PROOF. Suppose  $(X, \mathcal{U})$  is pre-compact , then there exists a finite set  $A = \{x_1, x_2, \dots, x_n\}$  such that  $U(A) = \cup \{U(x_i) : 1 \leq i \leq n\} = X$  . Let  $\mathcal{F}$  be an ultrafilter on  $X$  , then there exists a  $x_K \in A$  with  $U(x_K) \in \mathcal{F}$  . Hence  $\mathcal{F}$  is a Cauchy filter .

Let every ultrafilter  $\mathcal{F}$  on  $X$  be Cauchy . Suppose that  $X$  is not pre-compact , then there exists a  $U$  in  $\mathcal{U}$  , such that for any finite subset  $A$  of  $X$  ,  $X - U[A] \neq \emptyset$  . Hence the collection  $\mathcal{B} = \{ X - U[A] : A \text{ is a finite subset of } X \}$  has the finite intersection property . Now  $\mathcal{B}$  is contained in an ultrafilter  $\mathcal{F}$  . Since every ultrafilter  $\mathcal{F}$  is Cauchy , for each  $U \in \mathcal{U}$  there exists a point  $z \in X$  such that  $U[z] \in \mathcal{F}$  . But  $X - U[z] \in \mathcal{F}$  . This implies that  $\emptyset = U[z] \cap ( X - U[z] ) \in \mathcal{F}$  which is impossible . Hence  $( X , \mathcal{U} )$  must be pre-compact .

**THEOREM 4.18** Let  $\mathcal{B}$  be a filter base for  $( X , \mathcal{U} )$  .  $\mathcal{B}$  is a Cauchy filter base if and only if for each  $U$  in  $\mathcal{U}$  there exists a point  $x$  in  $X$  such that  $B \subset U[x]$  for some  $B \in \mathcal{B}$  .

**PROOF.** Let  $\mathcal{B}$  be a Cauchy filter base , then  $\mathcal{B}$  generates a Cauchy filter  $\mathcal{F}$  . That is to say , for each  $U$  in  $\mathcal{U}$  there exists a point  $x$  in  $X$  such that  $U[x] \in \mathcal{F}$  . Since  $\mathcal{B}$  is a filter base for  $\mathcal{F}$  , it follows that  $B \subset U[x]$  for some  $B \in \mathcal{B}$  .

If for each  $U$  in  $\mathcal{U}$  there exists a point  $x$  in  $X$  such that  $B \subset U[x]$  for some  $B \in \mathcal{B}$  , then for the filter  $\mathcal{F}$  generated by  $\mathcal{B}$  ,  $U[x] \in \mathcal{F}$  since  $B \in \mathcal{F}$  . Hence the filter base  $\mathcal{B}$  is a Cauchy filter base .

**THEOREM 4.19** Let  $\mathcal{F}$  be a filter on a quasi-uniform space  $( X , \mathcal{U} )$  . If for each  $U \in \mathcal{U}$  , there exists  $F \in \mathcal{F}$  such that  $F \times F \subset U$  , then  $\mathcal{F}$  is a Cauchy filter .

PROOF. Suppose the given condition is true. Then for each  $U$  in  $\mathcal{U}$ , there exists  $F \in \mathcal{F}$  with  $F \times F \subset U$ . Let  $x \in F$ , then  $F = (F \times F)[x] \subset U[x]$  and hence  $U[x] \in \mathcal{F}$ . Therefore  $\mathcal{F}$  is a Cauchy filter.

THEOREM 4.20 Every convergent filter is Cauchy.

PROOF. Let  $\mathcal{F}$  be a filter on a quasi-uniform space  $(X, \mathcal{U})$ , and let  $x \in \lim \mathcal{F}$ . Then for each  $U$  in  $\mathcal{U}$ ,  $U[x] \in \mathcal{F}$ . Hence  $\mathcal{F}$  is Cauchy.

EXAMPLE 4.16 Let  $R$  denote the set of real numbers with the usual order. Let  $W = \{(x, y) \in R \times R : x \leq y\}$  then  $\{W\}$  forms a quasi-uniform base. Let  $\{1/n\}_1^\infty$  be a sequence and  $\mathcal{F}$  the natural filter generated by this sequence. Then  $\mathcal{F}$  is convergent and hence  $\mathcal{F}$  is a Cauchy filter. However, there does not exist a  $F_k$  with  $k > 0$  such that  $F_k \times F_k \subset W$ . Therefore the converse of theorem 4.19 is not always true.

THEOREM 4.21 Let  $\mathcal{F}$  be a filter on a uniform space  $(X, \mathcal{U})$ .  $\mathcal{F}$  is Cauchy if and only if for each  $U$  in  $\mathcal{U}$ , there exists an element  $F$  of  $\mathcal{F}$  such that  $F \times F \subset U$ .

PROOF. Let  $\mathcal{F}$  be a Cauchy filter on a uniform space. For each  $U$  in  $\mathcal{U}$  there exists a symmetric  $V$  in  $\mathcal{U}$  such that  $V \circ V \subset U$ . Since  $\mathcal{F}$  is Cauchy, there exists a point  $x$  in  $X$  such that  $V[x] \in \mathcal{F}$ . Set  $F = V[x]$ . Let  $(y, z) \in F \times F = V[x] \times V[x]$ . Then  $(x, y) \in V$  and  $(x, z) \in V$ . And thus

$(y, z) \in V^{-1} \circ V = V \circ V \subset U$ . Hence  $F \times F \subset V \circ V \subset U$  for each  $U$  in  $\mathcal{U}$ . The result now follows by theorem 4.19.

**THEOREM 4.22** A filter finer than a Cauchy filter is a Cauchy filter.

**PROOF.** Let  $\mathcal{F}$  be a Cauchy filter on  $(X, \mathcal{U})$  and  $\mathcal{F}' \leq \mathcal{F}$ . For each  $U$  in  $\mathcal{U}$  there exists a point  $x \in X$  such that  $U[x] \in \mathcal{F}$  since  $\mathcal{F}$  is Cauchy. Since  $\mathcal{F}'$  is finer than  $\mathcal{F}$ , it follows that  $U[x] \in \mathcal{F}'$ . Hence  $\mathcal{F}'$  is a Cauchy filter.

**THEOREM 4.23** If  $\mathcal{F}$  is a Cauchy filter on  $(X, \mathcal{U})$  and  $\mathcal{U}'$  is coarser than  $\mathcal{U}$  then  $\mathcal{F}$  is a Cauchy filter on  $(X, \mathcal{U}')$ .

**PROOF.** Let  $\mathcal{U}'$  be a quasi-uniform structure coarser than the quasi-uniform structure  $\mathcal{U}$  on  $X$ , and let  $\mathcal{F}$  be a Cauchy filter on  $(X, \mathcal{U})$ . Let  $U$  in  $\mathcal{U}'$  then  $U$  in  $\mathcal{U}$  and there exists a point  $x$  in  $X$ , such that  $U[x] \in \mathcal{F}$ . Hence  $\mathcal{F}$  is Cauchy on  $(X, \mathcal{U}')$ .

**THEOREM 4.24** Let  $f$  be a function of  $X$  onto  $Y$ , and let  $\mathcal{F}$  be a Cauchy filter on  $(Y, \mathcal{U})$ . Then the filter  $f^{-1}(\mathcal{F}) = \{f^{-1}(F) : F \in \mathcal{F}\}$  is a Cauchy filter on  $(X, f_2^{-1}(\mathcal{U}))$ .

**PROOF.**  $f^{-1}(\mathcal{F})$  is a filter on  $(X, f_2^{-1}(\mathcal{U}))$  by theorem 4.2. Let  $V$  belongs to  $f_2^{-1}(\mathcal{U})$  then  $V = f_2^{-1}(U)$  for some  $U$  in  $\mathcal{U}$ . Since  $\mathcal{F}$  is Cauchy on  $(Y, \mathcal{U})$ , there exists a point  $y$  in  $Y$  such that  $U[y] \in \mathcal{F}$ . Now

$f^{-1}(U\{f(x)\}) \in f^{-1}(\mathcal{F})$  where  $y = f(x)$  and  $V\{x\} = (f_z^{-1}(U))\{x\} =$   
 $f^{-1}(U\{f(x)\}) \in f^{-1}(\mathcal{F})$ . Therefore  $f^{-1}(\mathcal{F})$  is a Cauchy filter on  
 $(X, f_z^{-1}(\mathcal{U}))$ .

The next example shows that the image of a Cauchy filter need not be a Cauchy filter.

EXAMPLE 4.17 Let  $D_r = \{(x,y) \in \mathbb{R}^+ \times \mathbb{R}^+ : |x - y| < r\}$  and let  $\mathcal{U}$  be a quasi-uniform structure on  $\mathbb{R}^+$ , the set of positive real numbers, generated by the quasi-uniform base  $\{D_r : r > 0\}$ . Let  $f$  be a function of  $\mathbb{R}^+$  into  $\mathbb{R}^+$  defined by  $f(x) = 1/x$  for every  $x \in \mathbb{R}^+$ . Let  $\{1/n\}_1^\infty$  be a given sequence in the domain of  $f$ , then the filter  $\mathcal{F}$  generated by the sequence  $\{1/n\}_1^\infty$  is Cauchy. But the filter  $f(\mathcal{F})$  generated by the sequence  $\{n\}_1^\infty$  is not a Cauchy filter.

THEOREM 4.25 Let  $f$  be a quasi-uniformly continuous function of  $(X, \mathcal{U})$  onto  $(Y, \mathcal{V})$  and let  $\mathcal{F}$  be a Cauchy filter on  $X$ , then  $f(\mathcal{F})$  is a Cauchy filter.

PROOF.  $f(\mathcal{F})$  is a filter on  $(Y, \mathcal{V})$  by theorem 4.3. For each  $V$  in  $\mathcal{V}$  there exists an entourage  $U$  in  $\mathcal{U}$  such that  $f_z(U) \subset V$ , since  $f$  is quasi-uniformly continuous function. Since  $\mathcal{F}$  is Cauchy on  $X$ , there exists a point  $x \in X$  such that  $U\{x\} \in \mathcal{F}$ . Hence  $f(U\{x\}) \in f(\mathcal{F})$ . Now  $f(U\{x\}) \subset f_z(U)\{f(x)\} \in f(\mathcal{F})$ , since  $f(\mathcal{F})$  is a filter on  $Y$ . Hence for each  $V$  in  $\mathcal{V}$  there exists  $f(x) \in Y$  such that  $V\{f(x)\} \in f(\mathcal{F})$ , and  $f(\mathcal{F})$  is

a Cauchy filter .

DEFINITION 4.12 A quasi-uniform space  $( X , \mathcal{U} )$  is complete if and only if every Cauchy filter has non-empty adherence .

DEFINITION 4.13 A quasi-uniform space  $( X , \mathcal{U} )$  is strongly complete if and only if every Cauchy filter has non-empty limit .

THEOREM 4.26 A strongly complete quasi-uniform space  $( X , \mathcal{U} )$  is complete .

PROOF. This follows since every limit point of a filter  $\mathcal{F}$  is an adherence point by theorem 4.7 .

THEOREM 4.27 In a uniform space , completeness and strong completeness are equivalent .

PROOF. A strongly complete uniform space is always complete by theorem 4.26 . Let  $\mathcal{F}$  be a Cauchy filter in the uniform space  $( X , \mathcal{U} )$  and let  $x \in \text{adh } \mathcal{F}$  . For each  $U$  in  $\mathcal{U}$  there exists a symmetric  $V$  in  $\mathcal{U}$  such that  $V \circ V \subset U$  . Since  $\mathcal{F}$  is a Cauchy filter on a uniform space  $( X , \mathcal{U} )$  there exists a  $F \in \mathcal{F}$  such that  $F \times F \subset V$  . Since  $x \in \text{adh } \mathcal{F}$  , there exists a point  $y \in V[x] \cap F \neq \emptyset$  . That is  $(x,y) \in V$  and  $y \in F$  . Let  $z$  be any point in  $F$  , then  $(y,z) \in F \times F \subset V$  . This implies that  $(x,z) \in V \circ V \subset U$  and  $z \in U[x]$  .

That is  $F \subset U[x]$  and hence  $U[x] \in \mathcal{F}$ . Therefore, in a uniform space, every Cauchy filter converges to its adherence point. Hence every complete uniform space is strongly complete.

**THEOREM 4.28** Completeness and strong completeness are invariant under quasi-uniformly continuous function.

**PROOF.** Let  $f$  be a quasi-uniformly continuous function from a quasi-uniform space  $(X, \mathcal{U})$  onto a quasi-uniform space  $(Y, \mathcal{V})$ .

Let  $(X, \mathcal{U})$  be a complete space. Suppose  $(Y, \mathcal{V})$  is not complete. Then there is a Cauchy filter  $\mathcal{F}$  on  $(Y, \mathcal{V})$  such that  $\text{adh } \mathcal{F} = \emptyset$ . For every  $x \in X$ , then  $y = f(x) \notin \text{adh } \mathcal{F}$ . That is to say, there exists a  $V_0$  in  $\mathcal{V}$  and a  $F_0$  in  $\mathcal{F}$  such that  $V_0[y] \cap F_0 = \emptyset$ . Now that  $f_2^{-1}(V_0)[x] \cap f^{-1}(F_0) = f^{-1}(V_0[y]) \cap f^{-1}(F_0) = f^{-1}(V_0[y] \cap F_0) = \emptyset$ , where  $f_2^{-1}(V_0)$  is in  $\mathcal{U}$  and  $f^{-1}(F_0)$  is in the Cauchy filter  $f^{-1}(\mathcal{F})$ . This implies that  $x \notin \text{adh } f^{-1}(\mathcal{F})$  for every  $x \in X$ , or equivalently  $\text{adh } f^{-1}(\mathcal{F}) = \emptyset$  which is impossible. Hence  $(Y, \mathcal{V})$  must be a complete quasi-uniform space.

Let  $(X, \mathcal{U})$  be strongly complete. Suppose  $(Y, \mathcal{V})$  is not strongly complete. Then there is a Cauchy filter  $\mathcal{F}$  on  $(Y, \mathcal{V})$  such that  $\lim \mathcal{F} = \emptyset$ . For every  $x \in X$ , then  $y = f(x) \notin \lim \mathcal{F}$ , or equivalently, there is a  $V_0$  in  $\mathcal{V}$  such that  $V_0[y] \notin \mathcal{F}$  or  $f^{-1}(V_0[y]) \notin f^{-1}(\mathcal{F})$ . Now that  $f_2^{-1}(V_0)[x] = f^{-1}(V_0[y])$ . Hence  $f_2^{-1}(V_0)[x] \notin f^{-1}(\mathcal{F})$  for every  $x \in X$  and then the Cauchy filter  $f^{-1}(\mathcal{F})$  has empty limit which

is impossible . Therefore  $( Y , \mathcal{V} )$  is strongly complete .

**THEOREM 4.29** Every closed subset  $A$  of a complete quasi-uniform space is complete .

**PROOF.** Let  $A$  be a closed subspace of a complete quasi-uniform space . Let  $\mathcal{F}$  be a Cauchy filter on  $A$  , then  $\mathcal{F}$  is a collection of subsets of  $X$  which has the finite intersection property . Let  $\mathcal{F}'$  be the Cauchy filter on  $X$  generated by  $\mathcal{F}$  . Since  $( X , \mathcal{U} )$  is complete , it follows that there exists a  $x \in \text{adh } \mathcal{F}' \equiv \bigcap \{ Cl_X F : F \in \mathcal{F}' \}$  . Now ,  $x \in \bar{A}$  . Since  $A$  is closed , then  $x \in A$  . However ,  $Cl_A F = A \cap Cl_X F$  . Hence ,  $x \in \bigcap \{ Cl_A F : F \in \mathcal{F} \}$  . Therefore  $A$  is complete .

**THEOREM 4.30** Every closed subset  $A$  of a strongly complete quasi-uniform space  $( X , \mathcal{U} )$  is strongly complete .

**PROOF.** Let  $\mathcal{F}$  be a Cauchy filter on a closed subspace  $A$  , then  $\mathcal{F}$  is a collection of subsets of  $X$  with the finite intersection property and hence generates a Cauchy filter  $\mathcal{F}'$  on  $X$  . Since  $( X , \mathcal{U} )$  is strongly complete , then there exists a point  $x \in X$  such that  $U[x] \in \mathcal{F}'$  for each  $U \in \mathcal{U}$  . Hence each neighborhood of  $x$  is contained in  $\mathcal{F}'$  and therefore meets  $A$  . Thus  $x \in \bar{A} = A$  . Therefore  $A$  is strongly complete .

**THEOREM 4.31** Let  $( X , \mathcal{U} )$  be a  $T_2$  , uniform space and let  $A$  be complete subspace of  $( X , \mathcal{U} )$  , then  $A$  is closed .



PROOF. Let  $x$  belongs to  $\bar{A}$ . Then for each  $U$  in  $\mathcal{U}$ , it follows that  $U[x] \cap A \neq \emptyset$ . Let  $\mathcal{B} = \{U[x] \cap A : U \in \mathcal{U}\}$ . Then  $\mathcal{B}$  has the finite intersection property and hence generates a filter  $\mathcal{F}$  on  $A$ . Since  $(X, \mathcal{U})$  is a uniform space, then for each  $U$  in  $\mathcal{U}$  there is a symmetric  $V$  in  $\mathcal{U}$  such that  $V \circ V \subset U$ . This implies that  $(V[x] \cap A) \times (V[x] \cap A) \subset U \cap (A \times A)$  and hence  $\mathcal{F}$  is a Cauchy filter on  $A$ . Then there exists a point  $x' \in \lim \mathcal{F}$  and  $x' \in A$ . However  $\mathcal{F}$  is a Cauchy filter base in  $X$  and generates a Cauchy filter  $\mathcal{F}'$  on  $X$ . Furthermore  $x' \in \lim \mathcal{F}'$  and  $x' = x$  by theorem 4.10. Therefore  $\bar{A} \subset A$  and  $A$  is closed.

THEOREM 4.32 Every compact quasi-uniform space  $(X, \mathcal{U})$  is strongly complete.

PROOF. Let  $(X, \mathcal{U})$  be a compact quasi-uniform space. Suppose  $(X, \mathcal{U})$  is not strongly complete. That is to say, there exists a Cauchy filter  $\mathcal{F}$  on  $(X, \mathcal{U})$  such that for every point  $x$  in  $(X, \mathcal{U})$  there exists a  $U_x$  in  $\mathcal{U}$  with  $U_x[x] \notin \mathcal{F}$ . Let  $V_x$  be in  $\mathcal{U}$  such that  $V_x \circ V_x \subset U_x$ . Since  $X$  is compact, then there exists a finite set  $A = \{x_1, x_2, \dots, x_n\}$  such that  $X = \cup \{V_{x_i}[x_i] : V_{x_i} \in \mathcal{U} \text{ and } V_{x_i} \circ V_{x_i} \subset U_{x_i}, 1 \leq i \leq n\}$ . Set  $V = \cap \{V_{x_i} : 1 \leq i \leq n\} \in \mathcal{U}$ , then there exists a point  $a \in X$  such that  $V[a] = \cap \{V_{x_i}[a] : 1 \leq i \leq n\} \in \mathcal{F}$ , since  $\mathcal{F}$  is Cauchy. For each point  $y$  in  $V[a]$ , then  $(a, y) \in V = \cap \{V_{x_i} : 1 \leq i \leq n\}$ . However  $a \in V_{x_i}[x_i]$  for some  $i$ , hence  $(a, y) \in V_{x_i}$  for this  $i$ . That is  $(x_i, y) \in V_{x_i} \circ V_{x_i} \subset U_{x_i}$ . Hence  $V[a] \subset U_{x_i}[x_i] \in \mathcal{F}$ . This contradicts our

assumption , hence  $( X , \mathcal{U} )$  must be strongly complete .

**THEOREM 4.33** A quasi-uniform space  $( X , \mathcal{U} )$  is compact if and only if it is complete and pre-compact .

**PROOF.** Let  $( X , \mathcal{U} )$  be a compact quasi-uniform space . Then by theorem 4.11 every ultrafilter is Cauchy . Hence by theorem 4.17  $( X , \mathcal{U} )$  is pre-compact .

Since  $( X , \mathcal{U} )$  is compact , then by theorem 4.11 every Cauchy filter has a non-empty adherence . Hence  $( X , \mathcal{U} )$  is complete .

Suppose  $( X , \mathcal{U} )$  is a complete and pre-compact quasi-uniform space . Then every ultrafilter  $\mathcal{F}$  on  $( X , \mathcal{U} )$  is Cauchy by theorem 4.17 . Since  $( X , \mathcal{U} )$  is complete , then  $\text{adh } \mathcal{F} \neq \emptyset$  . However  $\mathcal{F}$  is an ultrafilter , then  $\text{adh } \mathcal{F} = \lim \mathcal{F} \neq \emptyset$  . Therefore every ultrafilter  $\mathcal{F}$  converges . Hence  $( X , \mathcal{U} )$  is compact by theorem 4.11 .

**COROLLARY.** A quasi-uniform space  $( X , \mathcal{U} )$  is compact if and only if it is strongly complete and pre-compact .

The proof follows immediately from theorem 4.32 and theorem 4.33 .

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